

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	6902209
<b>Application Number:</b>	10771993
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	3573
<b>Title of Invention:</b>	Automated exchange for trading of complex orders
<b>First Named Inventor/Applicant Name:</b>	Gary Katz
<b>Customer Number:</b>	20999
<b>Filer:</b>	
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	245001-2000.2
<b>Receipt Date:</b>	28-JAN-2010
<b>Filing Date:</b>	03-FEB-2004
<b>Time Stamp:</b>	16:07:07
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$810
RAM confirmation Number	2615
Deposit Account	
Authorized User	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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1	Amendment After Final	00730985.PDF	1037519 9b0c0e0402a5a3b260474d27b1361c5e7599e5	no	23
<b>Warnings:</b>					
<b>Information:</b>					
2	Transmittal Letter	00730986.PDF	68792 124c33e7551698138b5c9538f5eb1da5c9e1	no	2
<b>Warnings:</b>					
<b>Information:</b>					
3	Information Disclosure Statement (IDS) Filed (SB/08)	00730988.PDF	428662 59a2f03516cd07116d0b7a079e1773d8c235	no	4
<b>Warnings:</b>					
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4	Request for Continued Examination (RCE)	00730989.PDF	98423 677795d254a07a07d36e791a70a27378623671a	no	1
<b>Warnings:</b>					
This is not a USPTO supplied RCE 5B30 form.					
<b>Information:</b>					
5	Fee Worksheet (PTO-875)	fee-info.pdf	29998 a2e0b0326d98428f1e054b0718e1c0d0f53cc	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			1663394		
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>          If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>          If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>          If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					